

PENDER CORPORATE BOND FUND
PROXY VOTING RECORD - JULY 1, 2019 TO JUNE 30, 2020



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT MICHAEL J. CASTELLANO	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT RICHARD E. CAVANAGH	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT CYNTHIA L. EGAN	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT ROBERT FAIRBAIRN	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT HENRY GABBAY	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT R. GLENN HUBBARD	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT CATHERINE A. LYNCH	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT JOHN M. PERLOWSKI	MANAGEMENT	FOR	FOR
BLACKROCK CLOSED-END FUNDS	29-Jul-19	MUC	09254L107	ELECT KAREN P. ROBARDS	MANAGEMENT	FOR	FOR
NUVEEN QUALITY MUNI INCOME FUND-COM	6-Aug-19	NAD	67066V101	ELECTION OF DIRECTOR JUDITH M. STOCKDALE	MANAGEMENT	N/A	N/A
NUVEEN QUALITY MUNI INCOME FUND-COM	6-Aug-19	NAD	67066V101	ELECTION OF DIRECTOR CAROLE E. STONE	MANAGEMENT	N/A	N/A
NUVEEN QUALITY MUNI INCOME FUND-COM	6-Aug-19	NAD	67066V101	ELECTION OF DIRECTOR MARGARET L. WOLFF	MANAGEMENT	N/A	N/A
PIONEER FLOATING RATE TRUST	18-Sep-19	PHD	72369J102	DIRECTOR LISA M. JONES	MANAGEMENT	FOR	FOR
PIONEER FLOATING RATE TRUST	18-Sep-19	PHD	72369J102	DIRECTOR LORRAINE H. MONCHAK	MANAGEMENT	FOR	FOR
PIONEER FLOATING RATE TRUST	18-Sep-19	PHD	72369J102	DIRECTOR JOHN E. BAUMGARDNER JR	MANAGEMENT	FOR	FOR
NUVEEN PENNSYLVANIA QLTY MUNI INC FD INC	5-Dec-19	NQP	670972108	DIRECTOR JUDITH M. STOCKDALE	MANAGEMENT	FOR	FOR
NUVEEN PENNSYLVANIA QLTY MUNI INC FD INC	5-Dec-19	NQP	670972108	DIRECTOR CAROLE E. STONE	MANAGEMENT	FOR	FOR
NUVEEN PENNSYLVANIA QLTY MUNI INC FD INC	5-Dec-19	NQP	670972108	DIRECTOR MARGARET L. WOLFF	MANAGEMENT	FOR	FOR
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP ("PWC") AS INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF THE FUND FOR THE FISCAL YEAR ENDED OCTOBER 31, 2020.	MANAGEMENT	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	A NON-BINDING PROPOSAL PUT FORTH BY AN AFFILIATE OF BULLDOG INVESTORS, LLC REQUESTING THAT THE BOARD CONSIDER A SELF-TENDER OFFER FOR ALL OUTSTANDING SHARES OF THE FUND AT OR CLOSE TO NET ASSET VALUE, IF PROPERLY PRESENTED BEFORE THE MEETING.	SHAREHOLDER	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	ELECTION OF CLASS II DIRECTOR: NISHA KUMAR	MANAGEMENT	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	ELECTION OF CLASS II DIRECTOR: JANE E. TRUST, CFA	MANAGEMENT	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP ("PWC") AS INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS OF THE FUND FOR THE FISCAL YEAR ENDED OCTOBER 31, 2020.	MANAGEMENT	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	ELECTION OF CLASS II DIRECTOR: NISHA KUMAR	MANAGEMENT	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	ELECTION OF CLASS II DIRECTOR: JANE E. TRUST, CFA	MANAGEMENT	N/A	N/A
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	RATIFICATION OF PRICEWATERHOUSECOOPERS LLP ("PWC") AS THE FUND'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS.	MANAGEMENT	FOR	FOR
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	THE BOARD SHOULD AMEND THE BYLAWS TO PROVIDE THAT IN A CONTESTED ELECTION, A MAJORITY OF THE VOTES CAST IN THE ELECTION OF DIRECTORS SHALL BE REQUIRED TO ELECT A DIRECTOR	MANAGEMENT	FOR	FOR
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	TO CONDUCT A SELF-TENDER OFFER AT OR CLOSE TO NAV.	MANAGEMENT	FOR	FOR
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	ELECTION OF DIRECTOR: RAJEEV DAS	MANAGEMENT	FOR	FOR
BRANDYWINEGLOBAL-GLOBAL INCOME OPP FD	20-Mar-20	BWG	10537L104	ELECTION OF DIRECTOR: ANDREW DAKOS	MANAGEMENT	FOR	FOR
WESTERN ASSET EMERGING MKTS DEBT FD INC.	17-Apr-20	EMD	95766A101	TO RATIFY THE SELECTION OF PRICEWATERHOUSECOOPERS LLP AS THE FUND'S INDEPENDENT REGISTERED PUBLIC ACCOUNTANTS FOR THE FISCAL YEAR ENDING DECEMBER 31, 2020.	MANAGEMENT	FOR	FOR
WESTERN ASSET EMERGING MKTS DEBT FD INC.	17-Apr-20	EMD	95766A101	ELECTION OF CLASS III DIRECTOR TO SERVE UNTIL THE 2023 ANNUAL MEETING OF STOCKHOLDERS: ROBERT D. AGDERN	MANAGEMENT	FOR	FOR
WESTERN ASSET EMERGING MKTS DEBT FD INC.	17-Apr-20	EMD	95766A101	ELECTION OF CLASS III DIRECTOR TO SERVE UNTIL THE 2023 ANNUAL MEETING OF STOCKHOLDERS: EILEEN A. KAMERICK	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR ROBERT ABELES, JR.	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR JANE F. DASHER	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR ANITA L. DEFRANTZ	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR SUSAN B. KERLEY	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR MICHAEL LARSON	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR AVEDICK B. POLADIAN	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR WILLIAM E. B. SIART	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR JAYNIE MILLER STUEMUND	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR PETER J. TAYLOR	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR RONALD OLSON	MANAGEMENT	FOR	FOR
WESTERN ASSET/CLAYMORE LINKED OPP & INC	24-Apr-20	WIW	95766R104	DIRECTOR JANE E. TRUST	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009A10	ELECTION OF CLASS II DIRECTOR TO SERVE FOR A THREE-YEAR TERM UNTIL THE 2023 ANNUAL MEETING OF SHAREHOLDERS: P. GERALD MALONE	MANAGEMENT	FOR	FOR

PENDER CORPORATE BOND FUND
 PROXY VOTING RECORD - JULY 1, 2019 TO JUNE 30, 2020



NAME OF ISSUER	MEETING DATE	PRIMARY TICKER SYMBOL	CUSIP	LIST OF MATTERS VOTED ON AT MEETING	MATTERS PROPOSED BY MANAGEMENT / OTHER	HOW WE VOTED ON MATTERS	VOTE FOR / AGAINST MANAGEMENT
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009A10	ELECTION OF CLASS I DIRECTOR FOR THREE-YEAR TERM ENDING 2022: NEVILLE J. MILES (TO CONSIDER THE CONTINUATION OF THE TERM OF ONE DIRECTOR UNDER THE FUND'S CORPORATE GOVERNANCE POLICIES)	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO AMEND THE FUNDAMENTAL POLICY REGARDING INVESTMENT OF UP TO 80% IN ASIAN DEBT SECURITIES SO THAT THE FUND WOULD NORMALLY INVEST AT LEAST 80% OF ITS NET ASSETS, PLUS THE AMOUNT OF ANY BORROWINGS FOR INVESTMENT PURPOSES, IN "ASIA-PACIFIC DEBT".	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT THE MAXIMUM COUNTRY EXPOSURE TO ANY ONE ASIAN COUNTRY (OTHER THAN KOREA) IS LIMITED TO 20% OF THE FUND'S TOTAL ASSETS.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT AT LEAST 20% OF THE FUND'S TOTAL ASSETS WILL BE INVESTED IN "AUSTRALIAN DEBT SECURITIES".	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT THE MAXIMUM COUNTRY EXPOSURE FOR KOREA IS LIMITED TO 40% OF THE FUND'S TOTAL ASSETS.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT THE MAXIMUM COUNTRY EXPOSURE FOR NEW ZEALAND IS LIMITED TO 35% OF THE FUND'S TOTAL ASSETS.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT THE MAXIMUM CURRENCY EXPOSURE TO ANY ONE ASIAN CURRENCY (OTHER THAN KOREA) IS LIMITED TO 10% OF TOTAL ASSETS.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT THE MAXIMUM CURRENCY EXPOSURE FOR KOREA IS 25% OF THE FUND'S TOTAL ASSETS.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO ELIMINATE THE FUND'S FUNDAMENTAL INVESTMENT POLICY THAT THE MAXIMUM CURRENCY EXPOSURE FOR NEW ZEALAND IS 35% OF THE FUND'S TOTAL ASSETS.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO MAKE THE FUND'S FUNDAMENTAL TEMPORARY DEFENSIVE INVESTMENT POLICY WITH RESPECT TO TEMPORARILY INVESTING 100% OF ITS ASSETS IN U.S. DEBT SECURITIES A NON-FUNDAMENTAL POLICY BUT TO INCLUDE U.S. CASH AS WELL.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO MAKE THE FUND'S FUNDAMENTAL INVESTMENT POLICY TO INVEST UP TO 35% OF ITS TOTAL ASSETS IN ASIAN DEBT SECURITIES RATED BELOW BBB- OR BAA3 A NON-FUNDAMENTAL POLICY - BUT WITH RESPECT TO ASIA-PACIFIC DEBT SECURITIES INSTEAD OF ASIAN DEBT SECURITIES.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO MAKE THE FUND'S FUNDAMENTAL INVESTMENT POLICY WITH RESPECT TO ENTERING INTO REPURCHASE AGREEMENTS A NON-FUNDAMENTAL INVESTMENT POLICY.	MANAGEMENT	FOR	FOR
ABERDEEN ASIA-PACIFIC INCOME FUND, INC.	10-Jun-20	FAX	003009107	TO MAKE THE FUND'S FUNDAMENTAL INVESTMENT POLICY WITH RESPECT TO INVESTING UP TO 10% OF ITS TOTAL ASSETS IN SECURITIES RATED BELOW B- AT THE TIME OF INVESTMENT A NON-FUNDAMENTAL INVESTMENT POLICY.	MANAGEMENT	FOR	FOR